IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kenneth M. Adams et al.

Examiner: Unassigned

Serial No.:

Pending

Group Art Unit: Unassigned

Filed:

Herewith

Docket: M190.145.101

Title:

SURGICAL MICRO-BURRING INSTRUMENT AND METHOD OF

PERFORMING SINUS SURGERY

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir/Madam:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached form 1449 be considered by the Examiner and made of record. The cited references are enclosed for the Examiner's review. Pursuant to the provisions of M.P.E.P. 609, Applicants further request a copy of the 1449 form, marked as being considered and initialled by the Examiner, be returned with the next Official Communication.

Since this Information Disclosure Statement is being submitted within three months of filing national application; or date of entry of national application; or before the mailing date of the first Office Action on the merits, a fee has not been enclosed. However, if such fee is required, the Patent Office is hereby authorized to charge Deposit Account No. 500471 for fees as set forth under 37 C.F.R. 1.17(p).

Applicants respectfully request consideration of these references during prosecution of the above-identified matter. The Examiner is invited to contact the Applicants' representative at

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the below-listed telephone number if there are any questions regarding this Communication or the tendered references.

Respectfully submitted,

Kenneth M. Adams et al.,

By their attorneys,

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Dated: SOTTEMBER 9 1003

TAC: jmc

Timothy A. Czaja

Reg. No. 39,649

CERTIFICATE UNDER 37 C.F.R. 1.10:

"Express Mail" mailing label number: EV 310 988 644 US

Date of Deposit: September 9, 2003

The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name: John A. Parker

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FORM PTO-1449					Docket No.: M190.145.101			Serial No.: Pending	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT					Applicant: Kenneth M. Adams et al.				
					Filing Date: Herewith			Group Art: Unassigned	
U.S. PATENT DOCUMENTS									
Examiner	Document No.						Sub Cl	Class Filing Date	
Initial	 	Date		N	ame	Class			If Appropriate
AA	6,214,009	Apr. 10, 2001		Toriumi et al.					
AB	6,293,957	Sep. 25, 2001		Peters et al.		ļ			
AC	6,503,263	Jan. 7, 2003		Adams			ļ		
AD									
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FOREIGN PATENT DOCUMENTS									
	Document l	Document No.		te	Country	Class		lass	Translated Yes No
AM									Yes
AN							_		Yes
AO	<u> </u>						_		Yes
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)									
AP									
AQ									
AR									

DATE CONSIDERED: EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXAMINER: